

IFW

OCT. 12. 2005 2:29PM

CHRISTENSEN OCONNOR

NO. 6408 P. 1

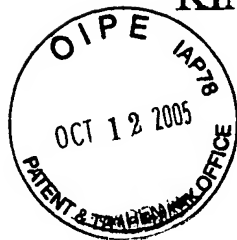
CHRISTENSEN  
O'CONNOR  
JOHNSON  
KINDNESS<sup>RLC</sup>

Law Offices

Intellectual Property Law  
and Related Litigation

1420 Fifth Avenue, Suite 2800  
Seattle, Washington 98101-2347

206.682.8100 *phone*  
206.224.0779 *fax*  
www.cojk.com



*FACSIMILE COVER SHEET*

DATE: October 12, 2005

TO: United States Patent and Trademark Office  
Office of Initial Patent Examination  
Filing Receipt Corrections

FACSIMILE NO: 703-746-9195

RE: U.S. Patent Application No. 10/606,626  
LOOKUP PARTITIONING STORAGE SYSTEM AND METHOD

OUR REFERENCE: MSFT-1-20701

YOUR REFERENCE: Confirmation No.: 5035

FROM: Gary S. Kindness

(Facsimile No. 206.224.0779)

MESSAGE:

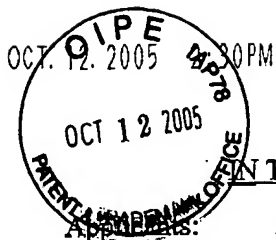
Please correct the Filing Receipt as indicated in the attached Request.

\*\*\* The information contained in this facsimile message is privileged and confidential information intended only for the use of the recipient named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, any distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address by mail. \*\*\*

We have 8 pages to send, including this sheet. If any pages need to be retransmitted, please call 206.682.8100, Ext. 1246.

SLW

MSFT20701160.DOC



CHRISTENSEN OCONNOR

NO. 6408 P. 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: A.F. Dalia et al. Attorney Docket No. MSFT120701  
Application No.: 10/606,626 Art Unit: 2186  
Filed: June 25, 2003 Confirmation No.: 5035  
Title: LOOKUP PARTITIONING STORAGE SYSTEM AND METHOD

REQUEST FOR FILING RECEIPT CORRECTION

October 12, 2005

TO THE COMMISSIONER FOR PATENTS:  
ATTN: OFFICE OF INITIAL PATENT EXAMINATION  
FILING RECEIPT CORRECTIONS

In reviewing the official Filing Receipt for the above-identified patent application, applicants' attorney noted that the listing of applicants is incorrect and should be corrected in accordance with the Petition to Accept Substitute Declaration filed December 23, 2003, and entered April 22, 2004. (A copy of the Substitute Declaration is enclosed.) Applicants' attorney therefore respectfully requests that the Filing Receipt be revised to read as follows:

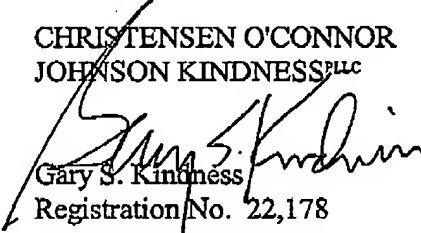
Applicant(s)

Apurva F. Dalia, Kirkland, WA;  
Craig Allen Harry, Wake Forest, NC;  
Nishant Dani, Issaquah, WA;  
Shan Jiang, Bellevue, WA;  
Brad Dean Thompson, Woodinville, WA;  
Bradley J. Barrows, Redmond, WA;  
David R. Shutt, Seattle, WA;

Enclosed is a copy of the Filing Receipt with the changes marked.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

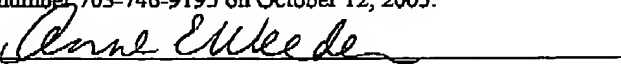
  
Gary S. Kindness

Registration No. 22,178  
Direct Dial No. 206.695.1702

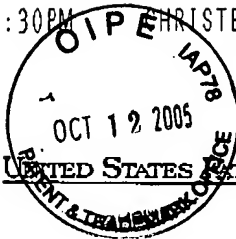
I hereby certify that this correspondence is being transmitted via facsimile to the Office of Initial Patent Examination, Filing Receipt Corrections, at facsimile number 703-746-9195 on October 12, 2005.

Date: October 12, 2005

GSK:aw

  
LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

MSFT020701160.DOC



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/806,626 | 06/25/2003                | 2186     | 1524          | MSFT120701      | 10       | 49       | 6        |

26389

CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

CONFIRMATION NO. 5035

## FILING RECEIPT

\*  
"OC000000011183964"

Date Mailed: 11/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Apurva F. Dalia, Kirkland, WA;  
Craig Allen Harry, Wake Forest, NC;  
~~Michael A. Pacheco, Cammamish, WA;~~  
~~Robert Thompson Whitney, Seattle, WA;~~

Nishant Dani, Issaquah, WA;  
Shan Jiang, Bellevue, WA;  
Brad Dean Thompson, Woodinville, WA;  
Bradley J. Barrows, Redmond, WA;  
David R. Shutt, Seattle, WA;

## Assignment For Published Patent Application

Microsoft Corporation;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 11/04/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Lookup partitioning storage system and method

Preliminary Class

711

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

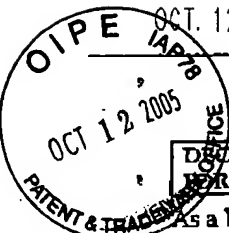
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



OCT. 12. 2005 2:30PM

CHRISTENSEN OCONNOR

NO. 6408 P. 5

PATENT APPLICATION

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

ATTORNEY DOCKET NO: MSFT1207

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LOOKUP PARTITIONING STORAGE SYSTEM AND METHOD

the specification of which is filed herewith, unless the following box is checked:

☐ was filed on \_\_\_\_\_ as US Application Number \_\_\_\_\_ or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below, and I have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application for which priority is claimed:

| COUNTRY | APPLICATION NUMBER | DATE FILED | PRIORITY CLAIMED UNDER 35 U.S.C. 119 |
|---------|--------------------|------------|--------------------------------------|
|         |                    |            | YES: _____ NO: _____                 |

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) listed below to prosecute this application and transact all business: Patent and Trademark Office connected therewith.

|                                       |   |   |
|---------------------------------------|---|---|
| Daniel D. Crouse, Reg. No. 32022      | David Bartley Eppenauer, Reg. No. 35499 | Martin L. Shively, Reg. No. 33553         |
| Ronald O. Zink, Reg. No. 35744        | Patricia E. Bornes, Reg. No. 37038      | Michael W. Bocianowski, Reg. No. 28692    |
| Danielle J. Holmes, Reg. No. 39720    | Lee E. Johnson, Reg. No. 22,946         | Gary S. Kindness, Reg. No. 22,178         |
| James W. Anable, Reg. No. 26,827      | James R. Uhler, Reg. No. 25,096         | Jerald E. Nagae, Reg. No. 29,418          |
| Dennis K. Shelton, Reg. No. 26,997    | Jeffrey M. Sakol, Reg. No. 32,059       | Ward Brown, Reg. No. 23,400               |
| Robert J. Carlson, Reg. No. 35,472    | Rodney C. Tullett, Reg. No. 34,034      | Daiva K. Tautvydas, Reg. No. 36,077       |
| Maria L. C. Anderson, Reg. No. 40,574 | Julle C. VanDerZanden, Reg. No. 38,105  | George E. Renzoni, Ph.D., Reg. No. 37,919 |
| Philip P. Mann, Reg. No. 30,960       | George S. Farber, Reg. No. 41,497       | Kevan L. Morgan, Reg. No. 42,015          |
| John D. Denkenberger, Reg. No. 44,060 | Melanie J. Seelig, Reg. No. 44,328      |   |

|  |  |
|--|--|
| Send Correspondence to:<br>Customer No. 26389<br>Contact Name - Adam L.K. Philipp<br>Firm Name - Christensen O'Connor Johnson Kindness PLLC<br>Firm Address - 1420 Fifth Avenue, Suite 2800<br>City, State, and Zip - Seattle, WA 98101-2347 | Direct Telephone Calls to:<br>Contact Name - Adam L.K. Philipp<br>Contact Phone No. - 206.695.1792 |
|--|--|

COPY

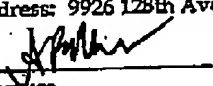
Best Available Copy

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Apurva F. Dalia

Residence: Kirkland, Washington

Post Office Address: 9926 128th Avenue NE, Kirkland, Washington 98033

  
Inventor's Signature

Citizenship: India

6/25/2003


Date

COPY

Full Name of Inventor: Craig Allen Harry

Residence: WakeForest, North Carolina

Post Office Address: 1204 Crozier Court, WakeForest, North Carolina 27587

  
Inventor's Signature

Citizenship: United States

Date

Full Name of Inventor: Nishant Dani

Residence: Issaquah, Washington

Post Office Address: 24410 SE 35th Place, Issaquah, Washington 98029

  
Inventor's Signature

Citizenship: India

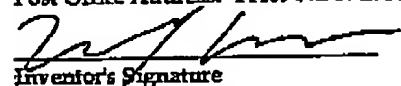
6/25/2003

Date

Full Name of Inventor: Shan Jiang

Residence: Bellevue, Washington

Post Office Address: 14409 NE 37th Place, Apt J6, Bellevue, Washington 98007

  
Inventor's Signature

Citizenship: Peoples' Republic of China


6/25/2003

Date

Full Name of Inventor: Brad Dean Thompson

Residence: Woodinville, Washington

Post Office Address: 19308 NE 150th Street, Woodinville, Washington 98072

  
Inventor's Signature

Citizenship: United States

6/23/2003

Date

Full Name of Inventor: Bradley J. Barrows

Residence: Redmond, Washington

Citizenship: United States

Best Available Copy

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Apurva P. Dalia

Citizenship: India

Residence: Kirkland, Washington

Post Office Address: 9926 126th Avenue NE, Kirkland, Washington 98033

Inventor's Signature

Date

Full Name of Inventor: Craig Allen Henry

Residence: WakeForest, North Carolina

Post Office Address: 1204 Crozier Court, WakeForest, North Carolina 27587

*Craig Allen Henry*  
Inventor's Signature

Citizenship: United States

*6/25/2003*  
Date

Full Name of Inventor: Nishant Dani

Citizenship: India

Residence: Issaquah, Washington

Post Office Address: 24410 SE 35th Place, Issaquah, Washington 98029

Inventor's Signature

Date

Full Name of Inventor: Shan Jiang

Citizenship: Peoples' Republic of China

Residence: Bellevue, Washington

Post Office Address: 14409 NE 87th Place, Apt J6, Bellevue, Washington 98007

Inventor's Signature

Date

Full Name of Inventor: Brad Dean Thompson

Citizenship: United States

Residence: Woodinville, Washington

Post Office Address: 19308 NE 190th Street, Woodinville, Washington 98072

Inventor's Signature

Date

Full Name of Inventor: Bradley J. Burrows

Citizenship: United States

Residence: Redmond, Washington

OCT. 12. 2005 2:31PM

CHRISTENSEN OCONNOR

NO. 6408 P. 8

Post Office Address: 18106 NE 27th Street, Redmond, Washington 98052

Inventor's Signature

6/25/2003

Date

Full Name of Inventor: David R. Shutt

Citizenship: United States

Residence: Seattle, Washington

Post Office Address: 219 1/2 Howe Street, Seattle, Washington 98109

Inventor's Signature

6/25/2003

Date

ALP:ylc

COPY